



PATENTS
CF-46

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Andrew C. Gilbert
Application No. : 10/042,371 Confirmation No. : 6761
Filed : December 27, 2001
For : SYSTEMS AND METHODS FOR CREATING AND
TRADING DYNAMIC SECURITIES
Group Art Unit : 3624
Examiner : Not yet assigned

New York, New York 10020
April 3, 2003

Box DAC
Hon. Commissioner for Patents
Washington, D.C. 20231

NOTIFICATION UNDER 37 C.F.R. § 1.213(c)
OF FOREIGN FILING OF PATENT APPLICATION

Sir:

Pursuant to 37 C.F.R. § 1.213(c), applicant hereby notifies the Patent and Trademark Office that the above-identified patent application was filed in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing.

The earliest such filing occurred on December 18, 2002, which is more than forty-five days prior to this notification. A petition under 37 C.F.R. § 1.137(b,f) to

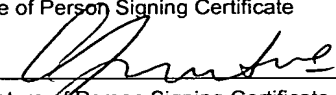
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revive this application is being filed concurrently
herewith.

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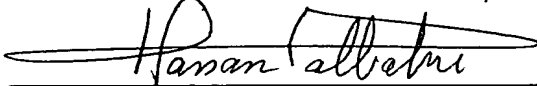
Claire J. Saintil-van Goodman

Name of Person Signing Certificate


Signature of Person Signing Certificate

April 3, 2003
Date of Signature

Respectfully submitted, ,



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